

## Rebate Program

The New York City Department of Transportation (NYCDOT) is accepting applications for a voluntary, clean vehicle rebate program for truck owners and fleets that are based or operate in the Hunts Point and Port Morris communities, Borough of the Bronx, New York. The NYCDOT Hunts Point Clean Trucks Program (HPCTP) aims to modernize older diesel trucks by offering rebate amounts to scrap an older diesel truck, install exhaust retrofit technologies, or replace older trucks with a new diesel or alternative fuel truck (hybrid diesel-electric, compressed natural gas [CNG], battery electric vehicle [BEV]).

### Important Program Information

- All completed applications will be considered on a first-come, first-serve basis. Only applications that are considered complete will move forward.
- A five (5) year program commitment from the participant is required and includes, annual reports demonstrating care of vehicle and/or equipment.
- For the duration of the five (5) year program, the Participant Replacement Truck or Qualifying Truck, with an Exhaust Retrofit Technology installed, must perform regular operation in the Hunts Point and/or Port Morris Communities by maintaining **sixty (60) percent** of the total miles traveled be within the City of New York and must operate within the Hunts Point and/or Port Morris Communities at least **two (2) times per week**; **OR eighty-five (85) percent** of the total vehicle miles traveled must be within Nonattainment Areas of the following New York State Counties: Bronx, Kings, New York, Queens, Richmond, Nassau, Putnam (nonattainment for Ozone only), Rockland, Suffolk, and Westchester, and the Replacement Truck or Qualifying Truck, with an Exhaust Retrofit Technology installed, must operate within the Hunts Point and/or Port Morris communities at **least three (3) times per week**.
- Applications are being sought from independent owner operators (IOOs), fleet operators, local businesses, truck leasing companies, and others that meet program requirements.
- You may **not** order or purchase any replacement truck or retrofit technology prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator. Any new truck or retrofit technology purchased **prior** to Participant Agreement execution is **ineligible** for the incentive rebate.
- You may **not** scrap a truck prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator. Any truck scrapped **prior** to Participant Agreement execution is **ineligible** for the rebate incentive.
- Rebate amounts will be distributed to the participant dealership, original equipment manufacturer or retrofit vendor after the new truck or retrofit technology is delivered to the applicant or installed on the applicant's truck. Rebate amount for voluntary scrapped trucks will be distributed to the truck owner.
- Applications submitted with altered language or forms will be void.

### Criteria for Eligibility of Applicants & Existing Trucks

- Qualifying trucks must be a Class 3 to Class 8 truck with a history of operating in Hunts Point and/or Port Morris.
  - Truck must be domiciled in the Hunts Point and/or Port Morris communities; **OR**
  - One year record of regular service within the Hunts Point and/or Port Morris communities.
- Replacement truck(s) Class, must be the same as the qualifying truck(s) Class, applicants can not downgrade or upgrade the Replacement truck's Class (i.e. if qualifying truck is a Class 3 then the Replacement truck must be a Class 3).
- Trucks qualifying for replacement or scrappage must have engines Model Year 2006 or older.
- Qualifying trucks with engines Model Year 2006 and older must be scrapped if replaced with a 2010 U.S. Environmental Protection Agency (U.S. EPA) emission compliant diesel truck.
- Qualifying trucks with engines Model Year 2003 and older must be scrapped **OR** retrofitted with an exhaust retrofit technology if replaced with a 2010 U.S. EPA compliant alternative fuel truck.
- Trucks qualifying for the installation of exhaust retrofit technologies must have engines Model Year 1994 to 2006.
- The Hunts Point and Port Morris area is defined to be south and east of the Bruckner Expressway/Major Deegan Corridor.

**For additional information, assistance, or to receive application materials, please contact us by:**

**website:** [www.huntspointctp.com](http://www.huntspointctp.com) **email:** [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com) **phone:** 877-310-2734

## Application Instructions

This application is to be used to apply for a rebate for qualifying truck scrappage, replacement or the installation of an exhaust retrofit technology that qualifies under the NYCDOT HPCTP.

### HOW TO APPLY:

1. Complete the Rebate Application (**Form 1**). The application must be signed and dated.
2. Complete and attach the Supplemental Application Cover Sheet (**Form 2**).
3. Complete and attach the Supplemental Application Form (**Form 3**) for each vehicle to receive a rebate amount.  
Please note:
  - Submitted application forms must include original wet ink signatures by the applicant.
  - All forms must be legible and unaltered. Altered application forms will not be accepted.
4. Include all required attachments (e.g. Depending on the rebate application: proof of registration, vehicle title, proof of insurance, proof of vehicle use within the HPCTP boundaries, and means to obtain out of pocket financing).

### Microsoft Excel Spreadsheet Instructions:

Each of the forms is an individual Excel worksheet within the Workbook. Use the tabs located at the bottom of the worksheet to access each form.

#### *PRINTING FROM EXCEL*

In order to print all the pages located in the workbook, please follow these directions:

- (1) Click on "File" (2) Click on "Print" (3) Under the "Print What" section, select "Entire Workbook"

### APPLICATION PROCESS:

After the submittal of the application and all supporting documents, the HPCTP Administrator will review the application. The applicant will be notified if any additional information is needed or if there are any discrepancies in the documents submitted.

- Submission of an application does not guarantee rebate amount.
- For truck replacements, the make, model, and manufacture year of the truck engine to be purchased must meet 2010 U.S. EPA emissions standards. Also, the truck replacement must be within the same weight class category as the truck to be replaced.

### PUBLIC INFORMATION NOTICES

Upon application submission, all documents become the property of the NYCDOT and as such become subject to the New York State Freedom of Information Law, Public Officers Law, Article 6, Sections 84-90. Applicants are entitled to request and review their personal information the NYCDOT gathers on its forms. Applicants may also have any application errors corrected. To review such information, contact the HPCTP.

Submit applications by:

**Email:** [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com)

**Mail:** HPCTP c/o ISR, 505 8th Avenue #2503, NY, NY 10018-4505

**Fax:** 212-244-8536

**website:** [www.huntspointctp.com](http://www.huntspointctp.com) | **email:** [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com) | **phone:** 877-310-2733



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New York City Department of Transportation  
Hunts Point Clean Trucks Program



**Approved Rebate Amount**<sup>1</sup>

The following table represents the rebate amounts available for qualifying truck replacement, exhaust retrofit technology, or vehicle scrappage under the NYCDOT HPCTP. Trucks that qualify for the HPCTP must be Class 3 to Class 8 (as determined by the by the gross vehicle weight rating) diesel trucks.

Vehicle Class	Scrap Only	2010-Compliant Diesel Rebate Amount for Engine 2003 or Older	2010-Compliant Diesel Rebate Amount for Engine 2004 to 2006	Hybrid Truck Rebate Amount	CNG Truck Rebate Amount	BEV Truck Rebate Amount	Exhaust Retrofit Technology
Class 3	\$2,500	\$12,000	\$15,000	\$20,000	\$20,000	\$25,000	TBD
Class 4	\$3,000	\$13,000	\$17,000	\$21,000	\$21,000	\$30,000	TBD
Class 5	\$3,500	\$15,000	\$19,000	\$22,000	\$22,000	\$35,000	TBD
Class 6	\$4,000	\$20,000	\$24,000	\$26,000	\$26,000	\$40,000	TBD
Class 7	\$4,500	\$21,000	\$26,000	\$35,000	\$35,000	\$45,000	TBD
Class 8	\$5,000	\$34,000	\$43,000	\$48,000	\$48,000	N/A <sup>2</sup>	TBD

Footnotes:

1. The approved rebate amount shall apply to all other governmental financial purchase contributions. The retrofit rebate amounts are not listed and will be reviewed on a case-by-case basis.
2. At this time a Class 8 BEV truck does not exist. When it becomes available, the rebate amount will be determined.



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New York City Department of Transportation
Hunts Point Clean Trucks Program



FORM 1: Rebate Application

Part 1: Signature Page

Applicant/Company Name: [ ]

Type of Company:

- Independent Owner, Licensed Motor Carrier, Leasing Company, Fleet Owner, Other:

Certifications

I have read the Eligibility Criteria and Application Guidelines and agree to ALL the following terms and conditions by initialing each of the following sections:

- Initial [ ] For the duration of the five (5) year program, the Participant Replacement Truck or Qualifying Truck...
Initial [ ] Applicant fully understands that the HPCTP 5-year program commitment includes annual reports...
Initial [ ] For truck replacement purchases that utilize alternative fuel technology...
Initial [ ] Applicant fully understands that the HPCTP is a cost sharing program...
Initial [ ] Applicant will not purchase the new truck(s) or exhaust retrofit technology until receiving an executed Participant Agreement...
Initial [ ] Applicant will not scrap the old truck(s) until receiving an executed Participant Agreement...
Initial [ ] NYCDOT or HPCTP Administrator maintains the right to inspect the old truck prior to vehicle scrappage...
Initial [ ] I have read and agree to the conditions and requirements of the NYCDOT HPCTP as stated in this program document.

\*\*Do not initial if only applying for voluntary vehicle scrappage of a truck.

Authorized Official: Applicant or an employee of the applicant authorized to apply for the rebate amount

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed. I agree with the information provided, and the date provided below is the date I signed the form.

Signature of Authorized Official: [ ]

PLEASE SIGN IN BLUE INK. Faxed or photocopied signature pages will not be accepted. The application, with an original signature, must be received by the HPCTP Administrator or the application will not be accepted.

Applicant/Company Name: [ ]
Print Name of Authorized Official: [ ] Date: [ ]
Title: [ ]



### FORM 1: Rebate Application

#### Part 2: Designation of Officials and Access to Records Location

**1. Authorized Official:** The person signing this application.

Name: (Mr. or Ms.): \_\_\_\_\_ Title: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
Street Address City State Zip

Physical Address: \_\_\_\_\_  
(for express delivery, No P.O. Box) Street Address City State Zip

Main Phone Number: \_\_\_\_\_ Secondary Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**2. Designated Project Representative:** The applicant or an employee of the applicant who will serve as the Rebate contact and will be responsible for receiving and submitting the Rebate Participant Agreement documents, including annual usage reports. This person may not be a consultant or dealer.

Same as Authorized

Name: (Mr. or Ms.): \_\_\_\_\_ Title: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
Street Address City State Zip

Physical Address: \_\_\_\_\_  
(for express delivery, No P.O. Box) Street Address City State Zip

Main Phone Number: \_\_\_\_\_ Secondary Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**3. Financial Officer (if applicable)**

Same as Authorized

Name: (Mr. or Ms.): \_\_\_\_\_ Title: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
Street Address City State Zip

Physical Address: \_\_\_\_\_  
(for express delivery, No P.O. Box) Street Address City State Zip

Main Phone Number: \_\_\_\_\_ Secondary Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**4. Designated Location for Records Access and Review by NYCDOT, HPCTP Administrator, or its Representative**

Physical Address: \_\_\_\_\_  
Street Address City State Zip

For additional information, assistance, or to receive applications materials, please contact us by:

website: [www.huntspointctp.com](http://www.huntspointctp.com) | email: [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com) | phone: 877-310-2733

## FORM 2: Supplemental Application Cover Sheet

(Attach to FORM 1: Project Application Form)

**1. Type of Application.** Each qualifying truck (for truck replacement, exhaust retrofit technology installation, or vehicle scrappage) will require a separate Supplemental Application Form (see Form 3). Indicate below the number of Supplemental Application Forms (Form 3) that are included:

- A. \_\_\_\_\_ Truck Replacement (up to **20 trucks** per calendar year; a program maximum of **50 trucks**; or a maximum cumulative award of \$2,000,000 per applicant)\*
- B. \_\_\_\_\_ Truck Exhaust Retrofit Technology Installation (up to a maximum cumulative award of \$2,000,000 per applicant)\*
- C. \_\_\_\_\_ Truck Scrappage only

\*Program funding limits may be subject to change.

**2. Total number of Supplemental Application Forms attached. (A + B + C)** for the total number of Supplemental Application Forms (Form 3) included in this application:

**Note:**

The rebate amount will be based, in part, on applicant's ability to meet all program requirements.

You may **NOT** order or purchase any replacement truck or retrofit technology prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator. Any new truck or retrofit technology purchased prior to Participant Agreement execution is ineligible for the rebate amount.

Replacement truck(s) Class, must be the same as the qualifying truck(s) Class, applicants can not downgrade or upgrade the Replacement truck's Class (i.e. if qualifying truck is a Class 3 then the Replacement truck must be a Class 3).

You may **NOT** scrap a truck prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator. Any truck scrapped prior to Participant Agreement execution is ineligible for the rebate amount.

**3. Attachment Checklist for Each Truck** (Be sure to provide a copy of each item in the checklist below for each Supplemental Application Form [Form 3])

- \_\_\_\_\_ **Truck Registration** (one year)
- \_\_\_\_\_ **Truck Title**
- \_\_\_\_\_ **Insurance Coverage** (Auto Liability, one year)
- \_\_\_\_\_ **If Applicable, Demonstration of Cost Share**
- \_\_\_\_\_ **Proof of Service** (Form A) that the qualifying truck meets program requirements for regular service to the Hunts Point and/or Port Morris communities for one year prior to the application date. The Hunts Point and Port Morris area is defined to be south and east of the Bruckner Expressway/Major Deegan Corridor.

Applications may be submitted by:

**Email:** [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com)

**Mail:** HPCTP c/o ISR  
505 8th Avenue #2503  
NY, NY 10018-4505

**Fax:** 212-244-8536



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Hunts Point Clean Trucks Program



FORM 3: Supplemental Application Form

(Submit One Per Qualifying Truck)

Criteria for Eligibility of Applicants & Existing Vehicles

- Qualifying trucks must be a Class 3 to Class 8 diesel truck with a history of operating in Hunts Point and/or Port Morris.
Vehicle domiciled in the Hunts Point and/or Port Morris communities; OR
One year record of regular service within the Hunts Point and/or Port Morris communities.
Trucks qualifying for replacement or scrappage must have engines Model Year 2006 or older.
Qualifying trucks with engines Model Year 2006 and older must be scrapped if replaced with a 2010 U.S. EPA emission compliant diesel truck.
Qualifying trucks with engines Model Year 2003 and older must be scrapped OR retrofitted with an exhaust retrofit technology if replaced with a 2010 U.S. EPA compliant alternative fuel truck.
Trucks qualifying for the installation of exhaust retrofit technologies must have trucks with engines Model Year 1994 to 2006.
A five (5) year program commitment from the participant is required and includes, annual reports demonstrating care of vehicle and/or equipment.
For the duration of the five (5) year program, the Participant Replacement Truck or Qualifying Truck, with an Exhaust Retrofit Technology installed, must perform regular operation in the Hunts Point and/or Port Morris Communities by maintaining sixty (60) percent of the total miles traveled be within the City of New York and must operate within the Hunts Point and/or Port Morris Communities at least two (2) times per week; OR eighty-five (85) percent of the total vehicle miles traveled must be within Nonattainment Areas of the following New York State Counties: Bronx, Kings, New York, Queens, Richmond, Nassau, Putnam (nonattainment for Ozone only), Rockland, Suffolk, and Westchester, and the Replacement Truck or Qualifying Truck, with an Exhaust Retrofit Technology installed, must operate within the Hunts Point and/or Port Morris communities at least three (3) times per week.
The Hunts Point and Port Morris area is defined to be south and east of the Bruckner Expressway/Major Deegan Corridor.

Program applying for: (select from check boxes below)

- Replace qualifying diesel truck with engines Model Year 1994 to 2006 with a 2010 U.S. EPA Compliant Diesel Truck (Complete Sections 1 and 2)
Replace qualifying diesel truck with an Alternative Fuel Truck (Complete Sections 1, 2 and 5)
Diesel Exhaust Retrofit Technology Installation (Complete Sections 1 and 3)
Diesel Truck Scrappage Only (Complete Sections 1 and 4)

1. OLD TRUCK INFORMATION (Please Fill Out All Below Fields)

Gross Vehicle Weight Rating (GVWR) (select one)

- Class 3 (10,001 to 14,000 lbs. GVWR)
Class 4 (14,001 to 16,000 lbs. GVWR)
Class 5 (16,001 to 19,500 lbs. GVWR)
Class 6 (19,501 to 26,000 lbs. GVWR)
Class 7 (26,001 to 33,000 lbs. GVWR)
Class 8 (33,001 lbs. GVWR or greater)

Table with 2 columns: Field Name, Value. Fields include Fuel Type (Diesel), Engine Make, Engine Family Name (12-digits), Engine Model Year, Engine Horsepower, Truck Make, Truck/Vehicle Identification Number (VIN), Truck Model Year, and Current Odometer Reading on Truck.

**2. REPLACEMENT TRUCK INFORMATION:** *Replacement truck must meet U.S. EPA 2010 emission standards.*

**Fuel Type** (select from check boxes below)

- Diesel (Mandatory scrappage of qualifying truck applies)
- Hybrid Diesel-Electric (If engines Model Year 2003 or older, mandatory scrappage or retrofit of qualifying truck applies)
- Compressed Natural Gas (CNG) (If engines Model Year 2003 or older, mandatory scrappage or retrofit of qualifying truck applies)
- Battery Electric Vehicle (BEV) (If engines Model Year 2003 or older, mandatory scrappage or retrofit of qualifying truck applies)

**2. REPLACEMENT TRUCK INFORMATION:** *(continued)*

**Gross Vehicle Weight Rating (GVWR) Please Note: Class of Replacement Truck Must Match Class of the Old Truck**

**Make and model of truck replacement** (if known)

**Truck Make**

**Truck Model**

**Truck Year**

**Dealer Contact Information** (if known)

*Company* *Contact Name* *Phone*

*Street Address* *City* *State* *Zip*

*Email Address*

**3. AUTOMATIC VEHICLE LOCATION (AVL)/GEOGRAPHIC INFORMATION SYSTEM (GPS) DEVICE (if known)**

Does your company use an AVL or GPS system on your trucks?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

**4. DIESEL EXHAUST RETROFIT TECHNOLOGY**

Retrofit installation is available for trucks with engines Model Year 1994 through 2006. Depending on the vehicle class and engine model year a truck may qualify to be retrofitted with a Best Available Retrofit Technology (BART) Level 1 Diesel Oxidation Catalyst (DOC) or a BART Level 3 Diesel Particulate Filter (DPF) retrofit technology verified by the U.S. EPA or CARB. Prior to Participant Agreement execution and approval of retrofit technology, exhaust temperature data logging evaluation will be required for Level 3 DPF installation.

Applying for Diesel Retrofit

**5. VEHICLE SCRAPPAGE**

The HPCTP offers incentives ranging from \$2,500 to \$5,000, depending on vehicle class of diesel truck, to eligible participants willing to scrap a functioning older diesel truck that is servicing the Hunts Point/Port Morris communities. *Note: Diesel-to-Diesel truck replacement does not qualify for the vehicle scrappage rebate amount.*

Applying for Scrappage Only

**6. Mandatory Scrappage or Retrofit (only for qualifying truck with engine model year 2003 or older, that will be replaced with an alternative fuel truck)**

Initial \_\_\_\_\_ I want to retrofit my qualifying truck

Initial \_\_\_\_\_ I want to scrap my qualifying truck





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**FORM 3A: Proof of Service**  
**to the Hunts Point/Port Morris Service Area**

*(Attach to Form 3: Supplemental Application Form)*

The qualifying truck must meet program requirements for regular service to the Hunts Point and/or Port Morris Communities for one year prior to the application date. The Hunts Point and Port Morris area is defined to be south and east of the Bruckner Expressway/Major Deegan Corridor. Please complete Section 1 or 2, as appropriate.

**Truck Info**

Truck VIN: \_\_\_\_\_

**1. Truck is domiciled in Hunts Point and/or Port Morris project area:**

Company \_\_\_\_\_

**Address:**

Street Address \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Is the domiciled address the same as shown on truck title and registration?

- Yes       No

If no, please explain why and provide proof of service  
(See Section 2):

\_\_\_\_\_  
\_\_\_\_\_

**2. Not domiciled but regular service to Hunts Point and/or Port Morris project area:**

**Domiciled**

Company \_\_\_\_\_

**Address:**

Street Address \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

**Locations frequented daily  
within Hunts Point/Port  
Morris Communities**

- Hunts Point Produce Market  
 Hunts Point Cooperative Market, Inc.  
 New Fulton Fish Market Cooperative  
 Business Other than Markets

**Estimated Average daily  
trips to Hunts Point and/or  
Port Morris Communities:**

\_\_\_\_\_

**Locations frequented weekly  
within Hunts Point/Port  
Morris Communities**

- Hunts Point Produce Market  
 Hunts Point Cooperative Market, Inc.  
 New Fulton Fish Market Cooperative  
 Business Other than Markets

**Estimated Average weekly trips to  
Hunts Point and/or Port Morris  
Communities:**

\_\_\_\_\_

**Proof Required (select from list below, and attach to this form)**

- Gate receipts     Bill of lading to local business     Other: \_\_\_\_\_