



# New York City Department of Transportation Hunts Point Clean Trucks Program



## Rebate Program

The New York City Department of Transportation (NYCDOT) is accepting applications for a voluntary, clean vehicle rebate program for truck owners and fleets that are based or operate in the Hunts Point and Port Morris communities, Borough of the Bronx, New York. The NYCDOT Hunts Point Clean Trucks Program (HPCTP) aims to offer rebate incentives to install exhaust retrofit technologies, or to replace older trucks with a model year 2016 or newer truck with a 2010 U.S. Environmental Protection Agency (EPA) emission compliant or newer diesel or alternative fuel engine (hybrid diesel-electric, compressed natural gas [CNG], battery electric vehicle [BEV]).

### Important Program Information

- All completed applications will be considered on a first-come, first-served basis. Only applications that are considered complete will move forward.
- Truck substitutions will not be made for the qualifying truck(s). If a qualifying truck is removed anytime during the HPCTP grant funding review, approval, processing, and/or scrappage, the HPCTP funding allocation will be forfeited. Substituted trucks must be submitted under separate application and will be considered with all other complete applications on a first-come, first served basis.
- A five (5) year program commitment from the participant is required for truck replacements. A three (3) year program commitment is required for truck exhaust retrofit technology installation.
- For the duration of the program commitment, the Participant Replacement Truck or Qualifying Truck with an Exhaust Retrofit Technology installed, must perform regular operation in the Hunts Point and/or Port Morris Communities by maintaining **seventy (70) percent** of the total vehicle miles traveled within the Tri-State area of New York, New Jersey, and Connecticut state geographic boundaries, and must operate within the Hunts Point and/or Port Morris communities at least **two (2) times** per week each year.
- Applicant understands that vehicle safety enhancements are required to be installed and maintained on each Replacement Truck and Qualifying Truck with an Exhaust Retrofit Technology installed. Required safety enhancements include side mirrors with split mirror design, cross-over mirrors, passenger door down view mirror, a backup alert system, and side guards.
- Applications are being sought from independent owner operators (IOOs), fleet operators, local businesses, truck leasing companies, and others that meet program requirements.
- You may **not** order or purchase any Replacement Truck or exhaust retrofit technology prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator. Any new truck or exhaust retrofit technology purchased **prior** to Participant Agreement execution is **ineligible** for the incentive rebate.
- You may **not** scrap a truck prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator. Any truck scrapped **prior** to Participant Agreement execution is **ineligible** for the rebate incentive.
- Rebate amounts will be distributed to the participant dealership, original equipment manufacturer or exhaust retrofit technology vendor after the new truck or exhaust retrofit technology is delivered to the applicant or installed on the applicant's truck.
- Applications submitted with altered language or forms will be void.
- The approved rebate amount shall apply to all other government financial purchase contributions.

### Criteria for Eligibility of Applicants & Qualifying Trucks

- Qualifying trucks must be a Class 3 to Class 8 truck with a history of operating in Hunts Point and/or Port Morris.
  - Truck must be domiciled in the Hunts Point and/or Port Morris communities during the previous 12 months; **OR**
  - Truck must have a record of regular service within the Hunts Point and/or Port Morris communities during the previous 12 months.
- Diesel to diesel Replacement Truck(s) Class, must be the same as the qualifying truck(s) Class, applicants cannot downgrade or upgrade the Diesel Replacement truck's Class (i.e. if qualifying truck is a Class 3 then the Replacement truck must be a Class 3). If Replacement Truck is an alternative fuel truck, applicants can downgrade the Replacement Truck's Class. Please contact Program administrators for any other special requests.
- Trucks qualifying for replacement must have engines Model Year 2006 or older and must be scrapped.
- Trucks qualifying for the installation of exhaust retrofit technologies must have engines Model Year 1994 to 2004.
- The Hunts Point and Port Morris area is defined to be south and east of the Bruckner Expressway/Major Deegan Corridor.

For Additional Information, Assistance, or to Receive Application Materials, Please Contact Us By:  
**website:** [www.huntspointctp.com](http://www.huntspointctp.com) | **email:** [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com) | **phone:** 877-310-2734



New York City Department of Transportation  
Hunts Point Clean Trucks Program



**Application Instructions**

This application is to be used to apply for qualifying truck replacement or the installation of an exhaust retrofit technology under the NYCDOT HPCTP.

**HOW TO APPLY:**

1. Complete the Rebate Application (**Form 1**). The application must be signed and dated.
2. Complete and attach the Supplemental Application Cover Sheet (**Form 2**).
3. Complete and attach the Supplemental Application Form (**Form 3**) for each vehicle to receive a rebate amount.
  - a. Submitted application forms must include original wet ink signatures by the applicant.
  - b. All forms must be legible and unaltered. Altered application forms will not be accepted.
4. Include all required attachments (e.g. Proof of registration, vehicle title, satisfaction of lien, proof of insurance, and proof of vehicle use within the HPCTP boundaries).

**APPLICATION PROCESS:**

After the submittal of the application and all supporting documents, the HPCTP Administrator will review the application. The applicant will be notified if any additional information is needed or if there are any discrepancies in the documents submitted.

- Submission of an application does not guarantee rebate amount.
- For truck replacements, the make, model, and manufacture year of the truck engine to be purchased must meet 2010 U.S. EPA emissions standards. Also, the truck replacement must be within the same weight class category as the truck to be replaced.
- Diesel Replacement Truck(s) Class, must be the same as the qualifying truck(s) Class. Applicants cannot downgrade or upgrade the Diesel Replacement truck's Class (i.e. if qualifying truck is a Class 3 then the Replacement truck must be a Class 3). If Replacement Truck is an alternative fuel truck, applicants can downgrade the Replacement Truck's Class. Please contact Program administrators for any additional special requests.

**PUBLIC INFORMATION NOTICES**

Upon application submission, all documents become the property of the NYCDOT and as such become subject to the New York State Freedom of Information Law, Public Officers Law, Article 6, Sections 84-90. Applicants are entitled to request and review their personal information the NYCDOT gathers on its forms. Applicants may also have any application errors corrected. To review such information, contact the HPCTP.

**SUBMIT APPLICATIONS BY\*:**

**Email:** [hpctp@tetrattech.com](mailto:hpctp@tetrattech.com)

**Mail:** HPCTP c/o Tetra Tech, Inc.  
249 E. Ocean Blvd., Suite 325  
Long Beach, CA 90802

**Fax:** (562) 495-5029

\*Please note: Applications can be submitted by Email, Mail, or Fax to begin the rebate process. However, original applications, with inked-signatures (no photocopies) must be submitted to the Program Administrator within thirty (30) days.

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**New York City Department of Transportation (NYCDOT)  
 Hunts Point Clean Trucks Program (HPCTP)**

**Approved Rebate Amount<sup>1</sup>**

The following table represents the rebate amounts available for qualifying truck replacement under the NYCDOT HPCTP. Trucks must be Class 3 to Class 8 (as determined by the gross vehicle weight rating [GVWR]) diesel trucks. The diesel exhaust retrofit technology rebate amounts are not listed and will be reviewed on a case-by-case basis.

<b>Vehicle Class (GVWR)</b>	<b>2010-Compliant Diesel Rebate Amount<sup>2</sup> for Engine 2006 or Older</b>	<b>Diesel-Electric Hybrid Truck Rebate Amount</b>	<b>Compressed Natural Gas Truck Rebate Amount</b>	<b>Battery Electric Truck Rebate Amount</b>
<b>Class 3</b> (10,001 – 14,000 lbs.)	\$10,000	N/A	Up to \$18,000 <sup>3</sup>	N/A
<b>Class 4</b> (14,001 – 16,000 lbs.)	\$12,000			\$90,000
<b>Class 5</b> (16,001 – 19,500 lbs.)	\$13,000	\$17,000		
<b>Class 6</b> (19,501 – 26,000 lbs.)	\$20,000	N/A	Up to \$40,000 <sup>3</sup>	N/A
<b>Class 7</b> (26,001 – 33,000 lbs.)	\$21,000			
<b>Class 8</b> (33,001 lbs. or greater)	\$30,000			

**Footnotes:**

GVWR - Gross Vehicle Weight Rating

N/A - Not Available; rebate amount will be updated when vehicle is available for purchase (subject to NYCDOT approval)

1. The approved rebate amount shall apply to all other governmental financial purchase contributions and not to exceed 80% of the cost differential.
2. Rebate amount is for the purchase of a 2016 or newer model year diesel truck only.
3. Up to \$10,000 additional incentive is available for Near Zero Emission natural gas engine.



New York City Department of Transportation
Hunts Point Clean Trucks Program



FORM 1: Rebate Application

Part 1: Signature Page

Applicant/Company Name:
Type of Company:
Vocation:

Certifications

I have read the Eligibility Criteria and Application Guidelines and agree to ALL of the following terms and conditions by initialing each of the sections:

- Initial Applicant fully understands that the HPCTP is a five (5) year program commitment for truck replacements.
Initial Applicant fully understands that no substitutions will be made for the qualifying truck(s).
Initial For the duration of the program commitment, the Participant Replacement Truck or Qualifying Truck with an Exhaust Retrofit Technology installed, must perform regular operation in the Hunts Point and/or Port Morris Communities by maintaining seventy (70) percent of the total vehicle miles traveled within the Tri-State area of New York, New Jersey, and Connecticut state geographic boundaries, and must operate within the Hunts Point and/or Port Morris communities at least two (2) times per week each year.
Initial For truck replacement purchases that utilize alternative fuel technology (hybrid diesel-electric, CNG or BEV), it is the applicant's responsibility to identify if locations for fueling/charging infrastructure for the new truck(s) is readily available.
Initial Applicant fully understands that the HPCTP is a cost sharing program, and it is the applicant's responsibility to cover the remaining balance after the rebate amount has been applied.
Initial Applicant will not purchase the new truck(s) or exhaust retrofit technology until receiving an executed Participant Agreement and approval from the NYCDOT or HPCTP representative.
Initial Applicant will not scrap the qualifying truck(s) until receiving an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator.
Initial NYCDOT or HPCTP Administrator maintains the right to inspect the qualifying truck prior to vehicle scrappage or exhaust retrofit technology installation, and new truck prior to truck replacement, at any time during the Participant Agreement period.
Initial Applicant agrees to install an HPCTP sponsored Automatic Vehicle Locator (AVL) for Regular Service compliance monitoring for the five (5) year program commitment period.
Initial Applicant understands that vehicle safety enhancements are required to be installed and maintained on each Replacement Truck and Qualifying Truck with Retrofit Technology installed.
Initial I have read and agree to the conditions and requirements of the NYCDOT HPCTP as stated in this program document.

Authorized Official: Applicant or an Employee of the Applicant Authorized to apply for the Rebate Amount

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed. I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating these forms and information into a rebate Participant Agreement, the data and information may be revised by the NYCDOT or HPCTP Administrator for accuracy and that our acceptance of a rebate Participant Agreement will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting Participant Agreements voidable. Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future rebate awards.

Signature of Authorized Official:

Applicant/Company Name:

Print Name of Authorized Official: Date:

Title of Authorized Official:

For Additional Information, Assistance, or to Receive Application Materials, Please Contact Us By:
website: www.huntspointctp.com | email: hpctp@tetrattech.com | phone: 877-310-2734



New York City Department of Transportation  
Hunts Point Clean Trucks Program



**FORM 1: Rebate Application**

**Part 2: Designation of Officials and Access to Records Location**

**1. Authorized Official:** The person signing this application.

**Name (Mr. or Ms.):** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Mailing Address:** \_\_\_\_\_  
*Street Address City State Zip Code*

**Physical Address:** \_\_\_\_\_  
*(For Express Delivery; No P.O. Box) Street Address City State Zip Code*

**Main Phone Number:** \_\_\_\_\_ **Secondary Number:** \_\_\_\_\_ **Fax Number:** \_\_\_\_\_

**Email Address:** \_\_\_\_\_

**2. Designated Project Representative:** The applicant or an employee of the applicant who will serve as the Rebate contact and will be responsible for receiving and submitting the Rebate Participant Agreement documents, including annual usage reports. This person may not be a consultant or dealer.

Same As Authorized Official

**Name (Mr. or Ms.):** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Mailing Address:** \_\_\_\_\_  
*Street Address City State Zip Code*

**Physical Address:** \_\_\_\_\_  
*(For Express Delivery; No P.O. Box) Street Address City State Zip Code*

**Main Phone Number:** \_\_\_\_\_ **Secondary Number:** \_\_\_\_\_ **Fax Number:** \_\_\_\_\_

**Email Address:** \_\_\_\_\_

**3. Designated Location for Records Access and Review By NYCDOT, HPCTP Administrator, or its Representatives**

**Physical Address:** \_\_\_\_\_  
*Street Address City State Zip Code*



New York City Department of Transportation
Hunts Point Clean Trucks Program



FORM 2: Supplemental Application Cover Sheet
(Attach to FORM 1: Rebate Application Form)

1. Type of Application: Each qualifying truck for truck replacement will require a separate Supplemental Application Form (See Form 3). Indicate below, the number of Supplemental Application Forms (Form 3) that are included:

- A. Number of Qualifying Truck(s) for Truck Replacement(s) included in this application.
B. Number of Qualifying Truck(s) for Exhaust Retrofit Technology Installation.

Please submit a separate Form 3 and Form 3A for each Qualifying Truck for Truck Replacement or Qualifying Truck for Exhaust Retrofit Technology Installation (up to a maximum annual cumulative award of \$2,000,000 per applicant; any additional trucks in excess must be alternative fueled).\*

\*Program funding limits may be subject to change.

Important Information

- The rebate amount will be based on applicant's ability to meet all program requirements.
You may NOT order or purchase any Replacement Truck or exhaust retrofit technology prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator.
Diesel Replacement truck(s) Class, must be the same as the qualifying truck(s) Class.
You may NOT scrap a qualifying truck prior to obtaining an executed Participant Agreement and approval from the NYCDOT or HPCTP Administrator.

New Timeline Requirements

- The application for a qualifying truck, including the original signed application, must be completed and returned to the NYCDOT HPCTP Administrator within thirty (30) days of initial submittal.
Within sixty (60) days of grant funding approval notification for a qualifying truck, the applicant must provide a draft dealership invoice for the Replacement Truck, or exhaust retrofit technology, and a completed qualifying truck inspection form.
The Replacement Truck must be delivered to applicant within 9 months of initial application submittal date.

2. Attachment Checklist for Each Qualifying Truck: Be sure to provide a copy of each item in the checklist below for each Supplemental Application Form [Form 3].

- Truck Registration (One Year)
Truck Title and Lien Release, if applicable
Insurance Coverage (One Year)
Photograph of engine Family Name, Engine Serial Number (Engine Tag)
Photograph of Qualifying Truck Gross Vehicle Weight Rating (GVWR)
Proof of Service (Form A) that the qualifying truck meets program requirements for regular service to the Hunts Point and/or Port Morris communities for one year prior to the application date.

For Additional Information, Assistance, or to Receive Application Materials, Please Contact Us By:
website: www.huntspointctp.com | email: hpctp@tetrattech.com | phone: 877-310-2734



**New York City Department of Transportation  
Hunts Point Clean Trucks Program**



**FORM 3: Supplemental Application Form  
(Submit One Per Qualifying Truck)**

**Program Applying For:** (Select From One of the Check Boxes Below)

- Replace qualifying diesel truck with a model year 2016 or newer truck with a 2010 U.S. EPA Emission Compliant or newer **Diesel Truck**
- Replace qualifying diesel truck with a model year 2016 or newer truck with a 2010 U.S. EPA Emission Compliant or newer **Alternative Fuel Truck**
- Diesel Exhaust Retrofit Technology (Complete Sections 1, 3, and 4 Only)

**1. Qualifying Truck Information**

<b>Gross Vehicle Weight Rating</b>	<input type="checkbox"/> Class 3 (10,001 to 14,000 lbs.)	<input type="checkbox"/> Class 6 (19,501 to 26,000 lbs.)
	<input type="checkbox"/> Class 4 (14,001 to 16,000 lbs.)	<input type="checkbox"/> Class 7 (26,001 to 33,000 lbs.)
	<input type="checkbox"/> Class 5 (16,001 to 19,500 lbs.)	<input type="checkbox"/> Class 8 (33,001 lbs. or Greater)
<b>Fuel Type</b>	Diesel	
<b>Truck/Vehicle Identification Number (VIN)</b>		
<b>Truck Make/Model</b>		
<b>Truck Model Year</b>		
<b>Engine Family Name (12-Digits)</b>		
<b>Engine Serial Number</b>		
<b>Engine Make Model</b>		
<b>Engine Model Year</b>		
<b>Engine Horsepower</b>		
<b>Current Odometer Reading on Truck</b>		

**2. Replacement Truck Information (Replacement Truck Must Meet U.S. EPA 2010 Emission Standards)**

<b>Fuel Type, if known</b>	<input type="checkbox"/> Diesel	<input type="checkbox"/> Hybrid Diesel-Electric
	<input type="checkbox"/> Compressed Natural Gas (CNG)	<input type="checkbox"/> Battery Electric Vehicle (BEV)
<b>Truck Make, if known</b>		
<b>Truck Model, if known</b>		
<b>Truck Year, if known</b>		

Please Note: Class of Replacement Truck Must Meet Class of the Qualifying Truck.

**3. Diesel Exhaust Retrofit Technology (Skip To Section 4, If Applying For Replacement Truck)**

Retrofit installation is available for trucks with engines Model Year 1994 through 2004. Depending on the vehicle class and engine model year, a truck may qualify to be retrofitted with a Best Available Retrofit Technology (BART) Level 1 Diesel Oxidation Catalyst (DOC) or a BART Level 3 Diesel Particulate Filter (DPF) retrofit technology verified by the U.S. EPA or California Air Resources Board (CARB). Prior to Participant Agreement execution and approval of retrofit technology, exhaust temperature data logging evaluation and opacity testing will be required for Level 3 DPF Installation.

\_\_\_\_\_ Initial here to acknowledge the requirement for Diesel Exhaust Retrofit Technology Installation

**4. Dealership/Exhaust Retrofit Technology Vendor Contact Information, if known**

<i>Name of Dealership</i>		<i>Contact Name</i>		<i>Phone Number</i>	
<i>Street Address</i>		<i>City</i>	<i>State</i>	<i>Zip Code</i>	
<i>Email Address</i>					

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New York City Department of Transportation
Hunts Point Clean Trucks Program



FORM 3A: Proof of Service
Hunts Point/Port Morris Project Area
(Attach to Form 3: Supplemental Application Form)

The qualifying truck must meet program requirement for Regular Service to the Hunts Point and/or Port Morris Communities for one year prior to the application date. The Hunts Point and Port Morris area is defined to be south and east of the Bruckner Expressway/Major Deegan Corridor. Please complete Section 1 or 2, as appropriate.

Qualifying Truck Vehicle Identification Number:

1. Qualifying Truck is Domiciled In Hunts Point and/or Port Morris Project Area

Company:

Address:

Street Address

City

State

Zip Code

Is the domiciled address, above, the same as the address shown on the truck title and truck registration?

- Yes
No

If no, please explain why and provide proof of service (See Section 2):

2. Qualifying Truck is Not Domiciled in Hunts Point and/or Port Morris Project Area, But Provides Regular Services

Company:

Address:

Street Address

City

State

Zip Code

Locations Frequented Weekly Within Hunts Point/Port Morris Communities

- Hunts Point Produce Market
Hunts Point Cooperative Market, Inc.
New Fulton Fish Market Cooperative
Businesses Other Than Markets:

Estimated Average Weekly Trips to Hunts Point and/or Port Morris Communities: Estimated Number of Trips/Week

Proof of Service Required (Select From List Below and Attach to Form 3A):

- Gate Receipts
Bill of Lading to Local Businesses
Other: